



# Connecting Europe Facility (CEF)

# Financing Opportunities

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CEF Transport Infoday  
The Netherlands, 29 October 2014

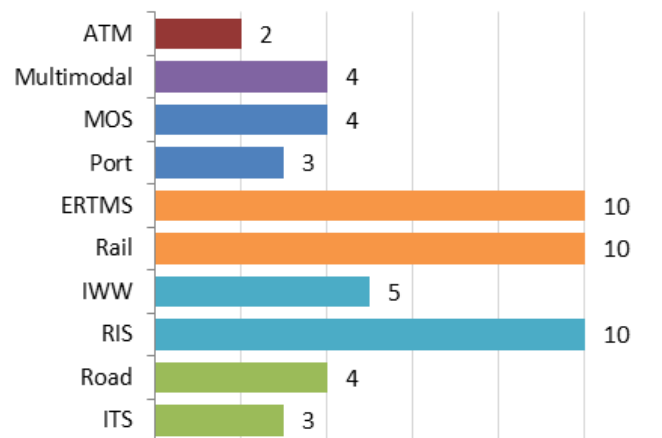
# NL Portfolio Characteristics (2007-2013)

## NL Portfolio

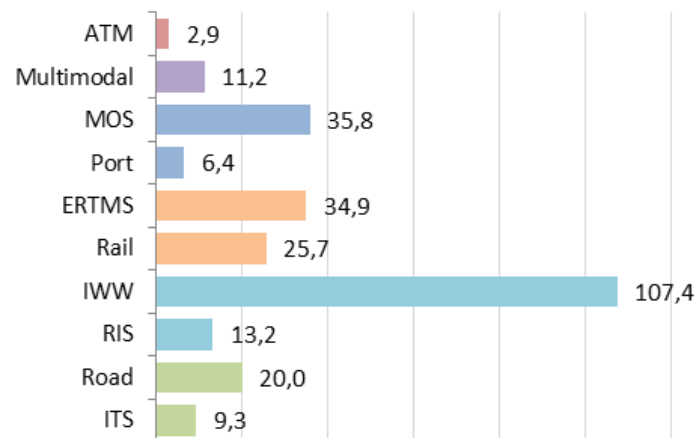
- 55 funding Decisions. Total investments: € 1,165.8 M; TEN-T contribution: € 266.9 M (~23%). Biggest project: Maasroute € 373.75/74.75 M

## PRIORITIES

- Inland waterways including RIS, ports and Motorways of the Sea, ERTMS



**No. of Projects**



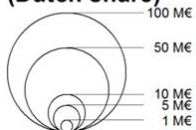
**Actual TEN-T funding (€ million)**

Dutch involvement in other cross-border projects (not seen on the map):

- \* 2007-EU-50010-P
- \* 2007-EU-60410-S
- \* 2008-EU-70000-S
- \* 2008-EU-91901-S
- \* 2009-EU-50000-M
- \* 2009-NL-60142-P
- \* 2010-EU-40101-S
- \* 2010-EU-91117-P



#### TEN-T funding (Dutch share)



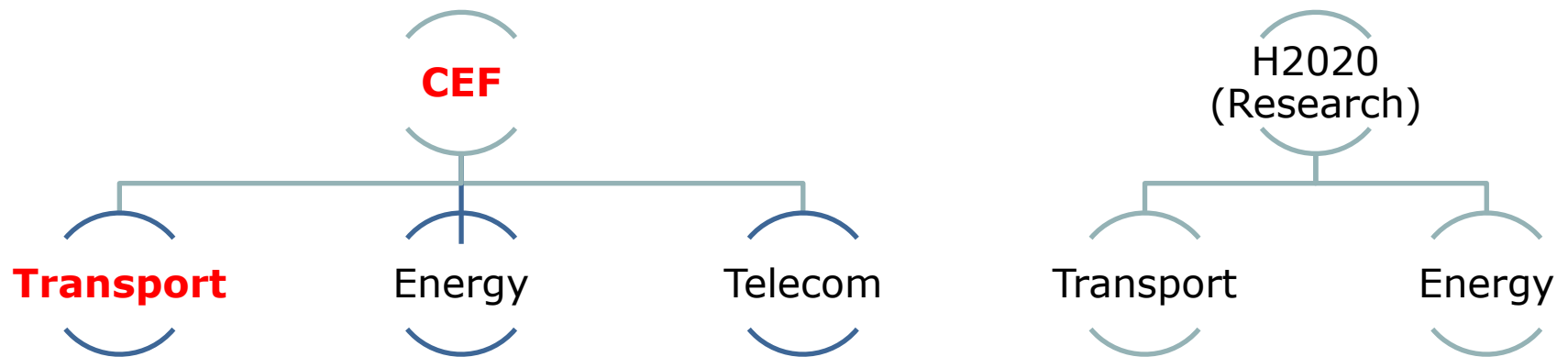
#### TEN-T projects

- Cross-border
- Other

#### TEN-T Priority Axes

- Railway
- Road
- Inland Waterway

# INEA (Innovation & Networks Executive Agency)



# Legal framework: key elements

- *TEN-T Guidelines Regulation 1315/2013*
  - **Priorities per mode**
  - **+ Innovation, telematic applications, urban nodes, multimodal platforms,, accessibility, safe & secure infra,...**
- *CEF Regulation 1316/2013, annex I part I.1*
  - **Pre-identified projects / sections Core Network**
- *Clean Power for Transport Regulation (to be adopted)*
- *PCP Regulation (SESAR),*
- *Naiades, etc.*

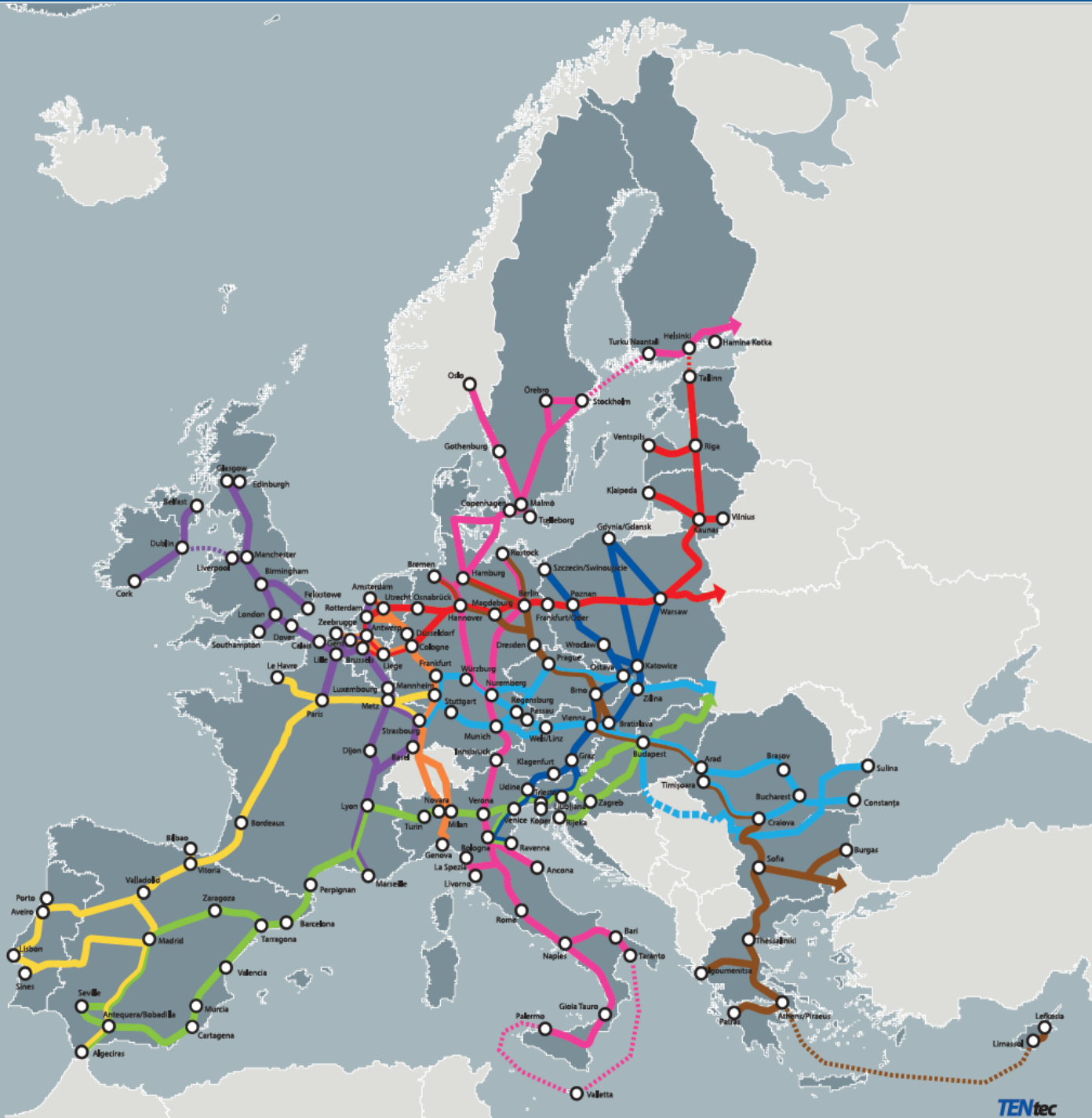


# Key elements of the new TEN-T policy

*Support implementation of Transport White Paper through new infrastructure policy*

- **Dual layer approach based on an objective methodology**
- **Common deadlines to achieve network**
- **Ambitious standards for all infrastructures**
- **Corridors and coordinators**

# The 9 Core Network Corridors



# Connecting Europe Facility: budget

*€33.2 billion for trans-European infrastructure*

**€26.2 billion for transport** (Vs ~€8bn for 2007 – 2013)

- **€14.9 billion for all 28 MS**
- **€11.3 billion for the MS eligible to the Cohesion Fund**

**€1.1 billion for broadband and digital services**

**€5.8 billion for energy infrastructure**



# Priorities for CEF implementation

- Major Cross-Border projects on the Corridors
- Other cross-border, bottlenecks and multimodal projects on the Core Network and the Corridors
- SESAR, ERTMS
- Other Telematic Application systems (RIS, ITS, e-Maritime...)
- Motorways of the Sea, including LNG
- New technologies and innovation
- Horizontal priorities to be deployed in priority along the Core Network Corridors

# 2 Programmes, 5 Calls

## MAP

Multiannual  
programme

+/- €12Bln

## AP

Annual  
programme

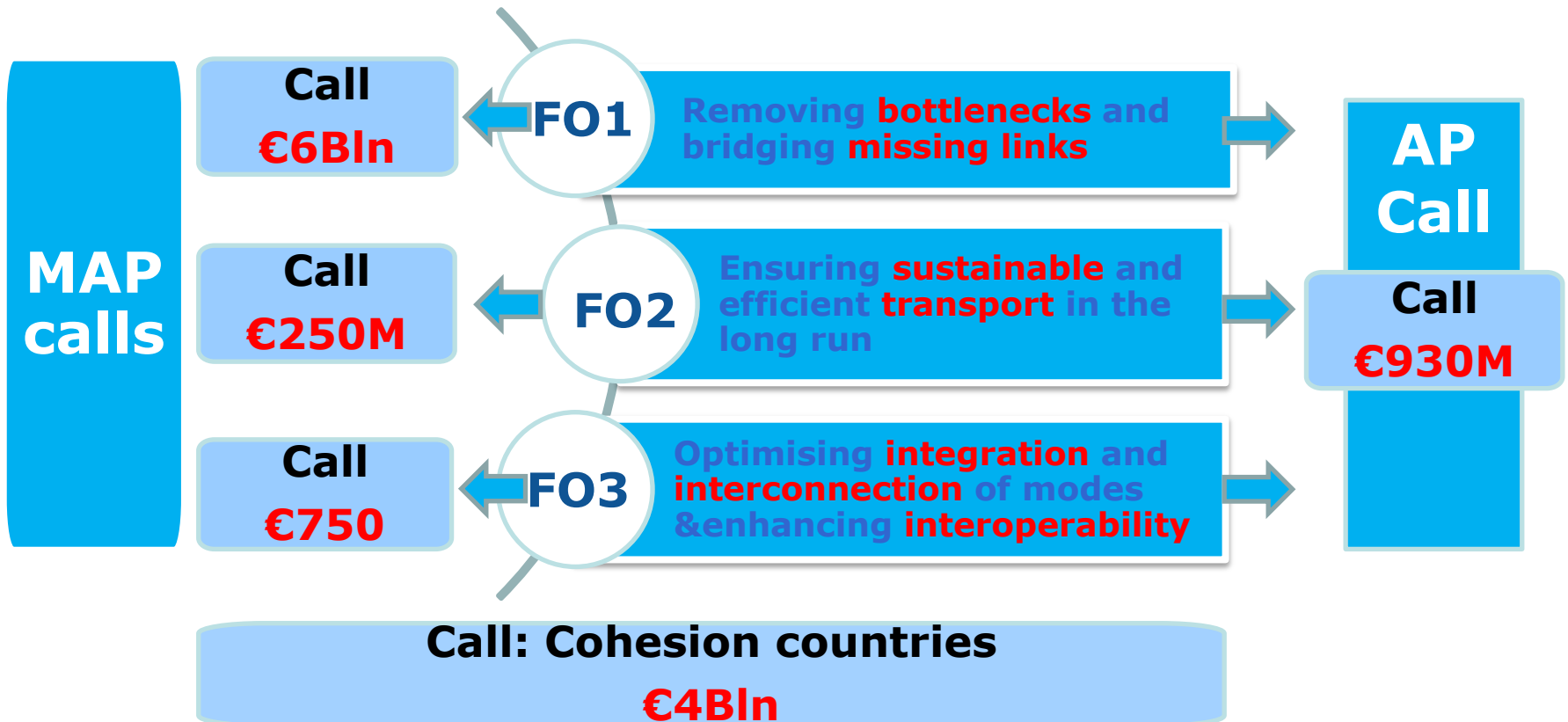
- Budget: **€11Bln**
- Coverage:
  - **pre-identified projects** along the 9 Core Network **Corridors**,
  - other pre-identified **Core** Network projects
  - horizontal priorities (MoS, VTMIS)

**Four  
Calls**

- Budget: **€930M**
- Coverage:
  - projects on the core and **comprehensive network**

**One  
Call**

# Funding Objectives



# Complementarity

MAP	AP
<p>Pre-identified parts of the Core network (CEF regulation Annex 1 Part 1.2)</p> <p>Other sections of the Core network (CEF regulation Annex 1 Part 1.3)</p> <p>Horizontal priorities</p>	<p>Everything that is not covered by the MAP</p> <p>Project of the Core Network not listed in Annex 1</p> <p>Projects on the comprehensive network</p>
<p>Longer duration of projects: until 2020.</p>	<p>Maximum duration of 3 years with possibility of a 12 months extension in duly justified and exceptional cases.</p>

Studies and works

# MAP: Call FO1

- Improving **cross-border** sections, bridging **missing links**, removing **bottlenecks**, enhancing **rail interoperability**

Priority	General envelope (€ million)	Cohesion envelope (€ million)
<b>Pre-identified projects on the Corridors of the Core Network</b>	<b>5,500</b>	<b>3,200</b>
<b>Pre-identified projects on the other sections of the Core Network</b>	<b>250</b>	<b>400</b>
Rail interoperability	50	
European Rail Traffic Management Systems (ERTMS)	200	100
<b>Total</b>	<b>6,000</b>	<b>3,700</b>

# MAP: Call FO2

- **Sustainable** and efficient transport systems
- **Decarbonisation** all modes of transport

Priority	General envelope (€ million)	Cohesion envelope (€ million)
New technologies and innovation in all transport modes ( <i>decarbonisation</i> )	160	<b>130</b>
Safe and Secure infrastructure	90	70
<b>Total</b>	<b>250</b>	<b>200</b>

# MAP: Call FO3

- **Integration and interconnection** of transport modes
- **Interoperability** of transport services
- **Accessibility** of transport infrastructures

<b>Priority</b>	<b>General envelope (€ million)</b>	<b>Cohesion envelope (€ million)</b>
Single European Sky – SESAR	300	
River Information Services (RIS)	25	
Intelligent Transport Services for road (ITS)	70	
Motorways of the Sea (MoS)	250	100
Actions implementing transport infrastructure in nodes of the Core Network, including urban nodes	50	
Connections to and development of multimodal logistics platforms	55	
<b>Total</b>	<b>750</b>	<b>100</b>

# AP: Call FO1, 2 & 3

- Improving **cross-border** sections, bridging **missing links**, removing **bottlenecks** and enhancing **rail interoperability**

Priority FO1	General envelope (€ million)
Railways, inland waterways & roads projects on the Core Network including connections to inland and maritime ports and airports, <i>as well as development of ports</i>	475
Projects on the Comprehensive Network (railways, inland waterways, roads, maritime and inland ports)	250
Projects to connect the trans-European transport network with infrastructure networks of the neighbouring countries	40
<b>Total</b>	<b>765</b>



# AP: Call FO1, 2 & 3

- Sustainable and efficient transport systems
- Decarbonisation of all transport modes

Priority FO2	General envelope (€ million)
Deployment of new technologies and innovation, other than those covered by the MAP ( <i>externalities: congestion, health, noise...</i> )	20
Freight Transport Services	25
Actions to reduce rail freight noise, including by retrofitting of existing rolling stock	20
<b>Total</b>	<b>65</b>

# AP: Call FO1, 2 & 3

- **Integration & interconnection** of transport modes,
- **Interoperability** and **accessibility**

Priority FO3	General envelope
Telematic applications systems other than those covered by the Multiannual Work Programme	55
Actions for better accessibility to transport infrastructure for disabled persons	10
Actions implementing transport infrastructure in nodes of the Core Network, including urban nodes	25
Connections to and development of multimodal logistics platforms	10
<b>Total</b>	<b>100</b>

# Main cross-cutting issues

- **Promotion of PPPs and use of financial instruments:**  
Proposals for technical, financial and legal studies to develop a project finance model (e.g PPP)
- **Economic viability of works proposals:**  
Assessed on the basis of a Cost-Benefit Analysis
- **Pilot activities**
- **Studies and/or works:**  
To be submitted separately but can be combined under certain conditions

## Eligibility of costs

### MAP

Costs incurred as from 1 January 2014 may be considered eligible.

Indirect costs are not eligible

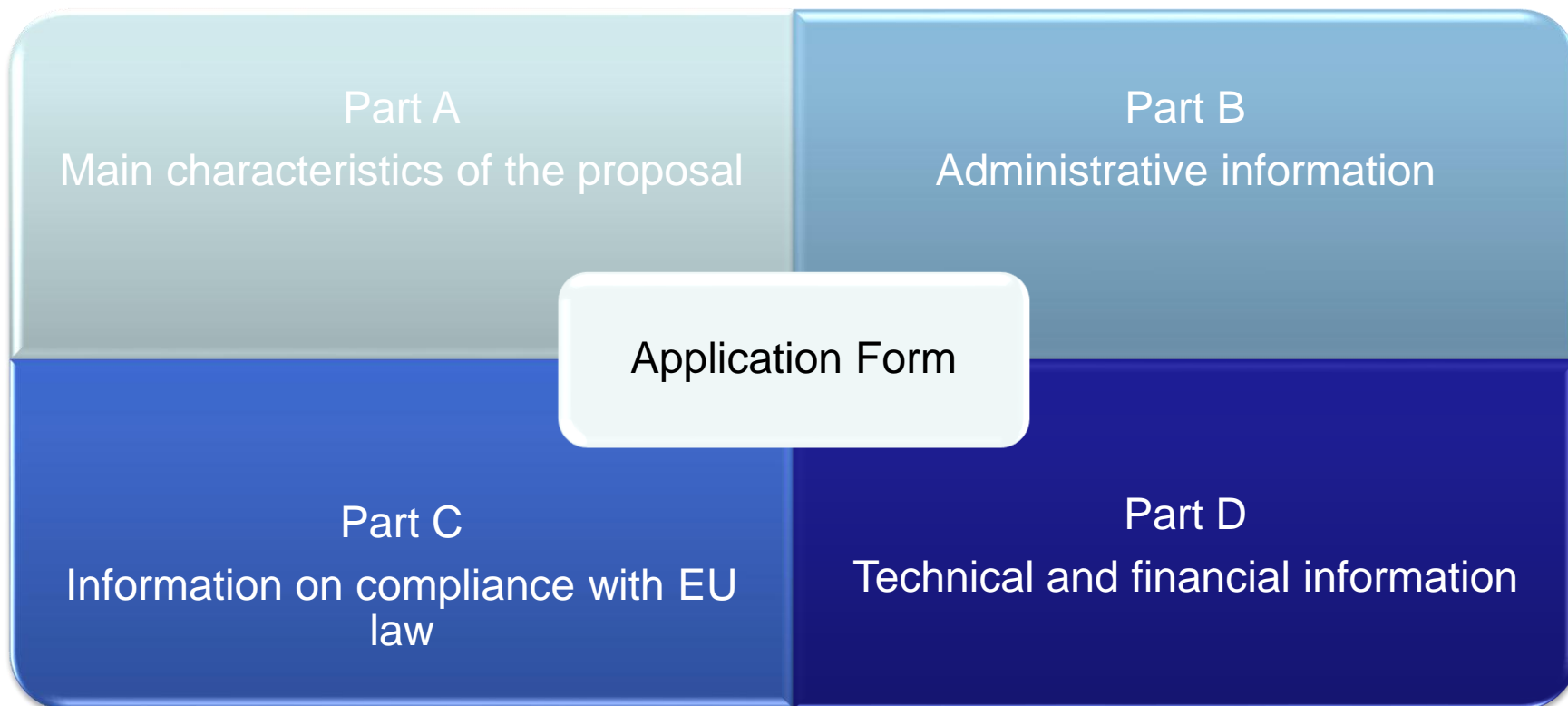
The total requested Union contribution to the eligible costs of a proposed Action should not be less than €500,000 for studies and not less than EUR 1,000,000 for works.

### AP

## Maximum cofunding rates for the Connecting Europe Facility

Types of Projects		All MS (€14.9bn )	€11.3bn
<b>(a) STUDIES (all modes)</b>		<b>50%</b>	<b>85%</b>
<b>(b) WORKS on</b>			
<b>Rail</b>	<b>Cross border</b>	<b>40%</b>	<b>85%</b>
	<b>Bottleneck</b>	<b>30%</b>	<b>85%</b>
	<b>Other projects of common interest</b>	<b>20%</b>	<b>85%</b>
<b>Inland waterways</b>	<b>Cross border</b>	<b>40%</b>	<b>85%</b>
	<b>Bottleneck</b>	<b>40%</b>	<b>85%</b>
	<b>Other projects of common interest</b>	<b>20%</b>	<b>85%</b>
<b>Inland transport connections to ports and airports (rail and road)</b>		<b>20%</b>	<b>85%</b>
<b>Development of ports</b>		<b>20%</b>	<b>85%</b>
<b>Development of multi-modal platforms</b>		<b>20%</b>	<b>85%</b>
<b>Reduce rail freight noise by retrofitting of existing rolling stock</b>		<b>20%</b>	<b>20%</b>
<b>Freight transport services</b>		<b>20%</b>	<b>20%</b>
<b>Secure parkings on road core network</b>		<b>20%</b>	<b>20%</b>
<b>Motorways of the sea</b>		<b>30%</b>	<b>85%</b>
<b>Traffic management systems</b>	<b>SESAR, RIS, VTMIS (ground/onboard)</b>	<b>50 / 20%</b>	<b>85%</b>
	<b>ERTMS</b>	<b>50%</b>	<b>85%</b>
	<b>ITS for road</b>	<b>20%</b>	<b>85%</b>
<b>Cross border road sections</b>		<b>10%</b>	<b>85%</b>
<b>New technologies and innovation for all modes of transport</b>		<b>20%</b>	<b>85%</b>

# Preparing an application





European  
Commission

## The Call Process

European Commission  
(DG MOVE)

Executive Agency  
(INEA)

Call Publication

Info Day event

Proposal opening & Eligibility check

External evaluation

Internal evaluation/Selection

Consultation of other Directorate  
Generals

Consultation of the CEF  
Coordination Committee (CCC)

European Parliament right of scrutiny

Adoption of the list of  
beneficiaries

Innovation  
and Networks  
Executive Agency

# Delivering CEF- Transport grants



# Step 1: External evaluation

- **Individual assessment**
- Each proposal is assessed **independently** by at least three independent experts which give scores from 0 to 5
- Each expert completes an evaluation form, commenting and scoring each criterion
- Scores must be in line with comments; minimum threshold per criterion is 60%
- No contact between experts



# Award criteria

## Relevance

- Contribution of the proposed Action to the TEN-T & CEF priorities and priorities of the calls
- EU added value

## Maturity

- Is the proposed Action ready to go?

## Impact

- Expected effect on financial viability
- Expected socio-economic effects, climate and environmental aspects

## Quality

- Completeness and clarity of the proposal
- Description of the planned activities
- Coherence between objectives, activities and planned resources
- Soundness of the project management process

# Step 1: External evaluation

- **Consensus**
- Experts agree during the consensus meeting on:
  - ✓ Comments and give a score for each of the four award criteria mentioned before
  - ✓ A general recommendation
- Experts will not recommend for funding proposals that score  $<3$  points for one or more of the four award criteria!

## Step 2: Selection Committee – DG MOVE, INEA & DG ENV

Role: Assess and validate the list of proposals recommended for funding and those not to be funded paying particular attention to:

Contribution to the balanced development of the network

Complementarity with other EU funded projects

Comparative EU added value in relation to other proposed Actions

Budgetary constraints

Potential synergies across the different CEF sectors and/or other EU Programmes

Any risks of double-funding from other Union sources

# Preparing successful proposals

- Assess maturity – better to propose a more mature project in future CEF calls
- In case of clear revenue stream, study possibility to benefit from CEF innovative financial instruments
- Proving EU added value is paramount – clear benefits for transnational projects & inclusion in Corridor perspective
- Liaise with the relevant Coordinators' teams and with national authorities

# Preparing successful proposals

- Anticipate competition and oversubscription
- Read the work programme, call text and Guide for Applicants very carefully
- Take available guidelines seriously, especially on formal, selection and award criteria
- Use the application check list & **consult the FAQ regularly** for updates

# Preparing successful proposals

- **Start early** (especially for MS endorsement, compliance with EU law and multi-applicant proposals)
- Pay attention to each question, they may not seem important to you, but they are for evaluators (project management, risk assessment, communication, etc.)

# Preparing successful proposals

- Provide enough detail
  - ✓ Objectives and the means to achieve these
  - ✓ Realistic planning & reliable financial data
  - ✓ Elaborate and explain on each question, avoid mere statements
- Do a consistency check of the financial tables, double check formatting of decimals

# Preparing successful proposals

- Re-read your proposal and ask yourself
  - ✓ Is it clear and does it cover all points the evaluators need to know?
  - ✓ Does it make the case for why it should receive EU funding above others?
- Do not submit by mistake Part A based on MS Word, only submit the eSubmission print out!



## Still more questions?

- Consult the FAQ on the INEA website and **follow the updates** (final update = 19 February 2015)
- Send your questions to the Helpdesk by **13 February 2015 at the latest:**

**[INEA-CEF-transport-calls-2014@ec.europa.eu](mailto:INEA-CEF-transport-calls-2014@ec.europa.eu)**

Please note that:

- To ensure equal treatment between applicants, only general questions will be answered and published in the FAQ list

## Provisional timeline

Deadline for submission of proposals	26 February 2015
Deadline for submission of translations in English (if applicable)	5 March 2015
Evaluation of proposals	March-April 2015 (indicative)
Consultation of Financial Assistance Committee; scrutiny right of European Parliament	June 2015 (indicative)
Signing of individual grant agreements	As from September 2015 (indicative)



European  
Commission

**Thank you for your attention!**

# Opportunities for inland ports

*Multiannual calls for proposals (1)*

***Core Inland Ports considered as a horizontal priority, all eligible under MAP!***

- **Funding objective 1 - Priority 1 (Corridors – €5.5bn):**
  - **all Core ports located on Corridor IWW sections identified in CEF Annex**
  - **Waterside infrastructure development of ports of comprehensive network located on Corridor IWW sections identified in CEF Annex**

# Opportunities for inland ports

## *Multiannual calls for proposals (2)*

- **Funding objective 1 - Priority 2 (other Core, €250bn):**
  - **Core ports located on other sections of IWW Core network**
  - **Waterside infrastructure development of ports of comprehensive network located on other sections of IWW Core network**

# Opportunities for inland ports

## *Multiannual calls for proposals (3)*

- **Funding objective 2 (Innovation, €160mln)**
  - **Deployment of new technologies & innovation (i.e. clean fuels) in the Core Ports, with priority given to projects on the Corridors**
- **Funding objective 3**
  - **Development & implementation of RIS (€25mln)**
  - **development of multimodal logistic platforms for the transshipment and storage of freight in Inland Core Ports (€55mln)**
    - Only for ports included in IWW section listed in CEF Annex)

# Opportunities for inland ports

## *Annual calls for proposals*

- **Funding objective 1**
  - **Core ports not eligible**
  - **Comprehensive network (€250mIn) – for ports connections to rail & road Core Network + class IV**
- **Funding objective 2 (€20mIn)**
  - **Clean fuels & Innovation projects for Core ports not part of CEF Annex 1 & comprehensive ports**
- **Funding objective 3 (€10mIn)**
  - **development of multimodal logistic platforms in Inland comprehensive Ports**

# Exemple: maritime ports

- **Hinterland connections** to the Core Network:
  - rail, inland waterway (or road if no other options)
  - adequate capacity and efficiency
- **Reception facilities:**
  - oil and other waste, including residues from scrubbers
  - meeting environmental requirements;
- **Innovation:**
  - new facilities and technologies regarding use of alternative energy
  - LNG bunkering, shore-side electricity,...

**Comprehensive AND Core Network ports!**



# Exemple: maritime ports

- **Basic infrastructure:**
  - **internal basins, quay walls, jetties, backfills and land reclamation** (as long as it does not provide selective advantage to a particular operator or group of operators)
- **Port access:**
  - **providing safe maritime access**
  - **breakwaters, capital dredging activities, access channels, locks and navigational aids,...**

**Only Core Network ports!**

# Exemple: maritime ports

- **Motorways of the Sea (MAP)**
  - **infrastructure devpt, single windows, VTMIS**
- **Multimodal platforms (MAP & AP)**
  - **Development & access to platforms, multimodality on CNC**
- **Innovation (decarbonisation) (MAP & AP)**
  - **Alternative fuel, decarbonisation & energy efficiency**
  - **Grant schemes & joint procurment**
- **Urban Nodes (MAP & AP)**
  - **Interconnections for passenger & freight transport**
- **Freight Transport Services (AP)**
  - **Integration of tranport modes, reliable choices for operators & customers,...**